

DAHA superpolynomials etc.

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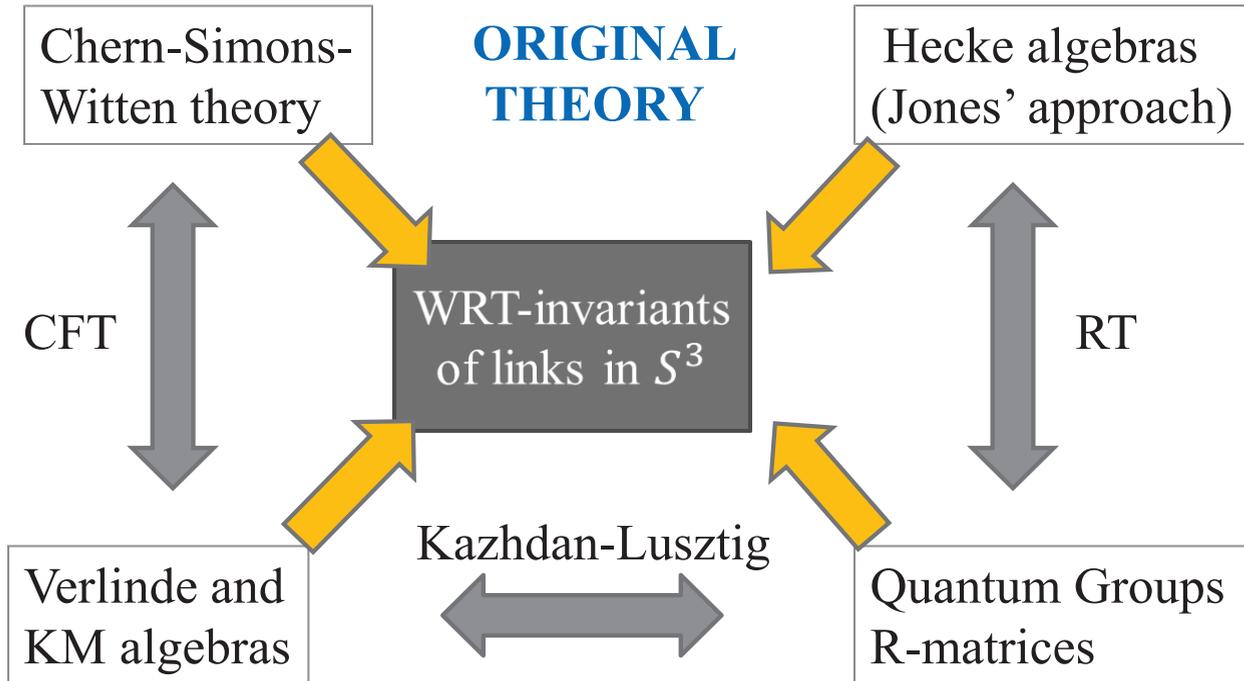
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ABSTRACT. The **DAHA superpolynomials** are invariants of colored iterated torus links, generalizing the **HOMFLY-PT** polynomials. Presumably they can be defined for all 5 Deligne-Vogel series, but this is fully done only for type *A* beyond some particular knots. In the uncolored case and for iterated knots, they are conjectured to coincide with the **stable reduced Khovanov-Rozansky polynomials**, the most powerful numerical knot invariants we have. For uncolored torus knots, this is due to Elias, Hogancamp and Mellit. Also, DAHA superpolynomials conjecturally coincide with the **motivic superpolynomials** of plane curve singularities and satisfy certain Riemann Hypothesis.

Motivic superpolynomials are defined in terms of compactified Jacobians of plane singularities. They are conjectured to coincide with (flagged) **L -functions of plane curve singularities**, the numerators of the ζ -functions due to Galkin and Stöhr. Considering the equations of plane singularities as superpotentials in *Landau-Ginzburg theory*, the motivic superpolynomials are expected to give the corresponding partition functions. *The functional equation for the zeta-functions (proven), conjecturally coinciding with the super-duality of the DAHA superpolynomials (proven too), appear therefore connected with the S -duality in M -theory*, which seems a fundamental connection between physics, geometry and number theory. Motivic superpolynomials satisfy Riemann Hypothesis for sufficiently small q , which can be hopefully (??) related to "phase transitions" in LGSM, at least by analogy with the Lee-Yang theorem from spin chains.

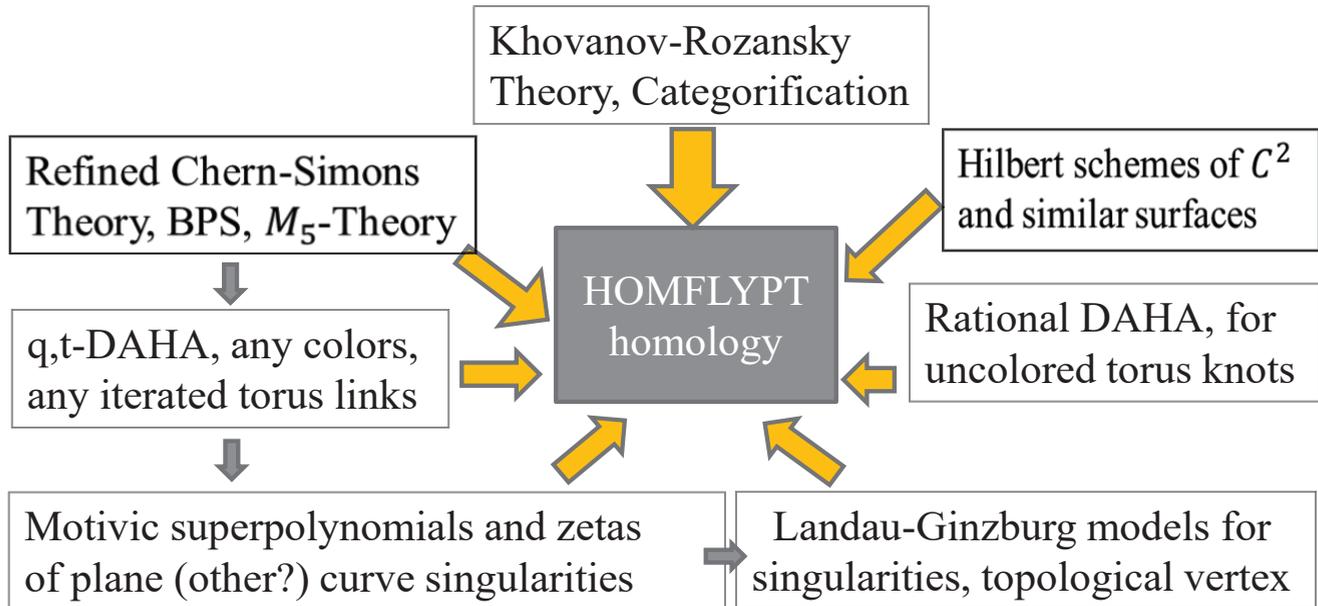
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KNOT INVARIANTS



Stabilization at roots of unity q remains quite a challenge!
 Needed for the invariants of 3-folds (lens, Seifert spaces).

NEW VINTAGE REFINED THEORY



Big Puzzle: Unification of these and related approaches:
 Combinatorics, Affine Springer Fibers, Instanton sums, Spin chains,

TOWARD "MOTIVIC LGSM"

- (1) The super-duality of the *physics superpolynomials* is related to the *S*-duality via *M*-theory. DAHA provide general algebraic framing for Fourier theory and deformed/nonsymmetric/... **Verlinde algebras**. DAHA superpolynomials are clearly correlation functions.
- (2) Vafa-Warner's paper "**Catastrophes...**" (1989) promoted study of **Landau-Ginzburg Sigma Models** as directly as possible in terms of the *singularities* of superpotentials $W(x, y)$ (can be with 3-4 variables). E.g. $\mu = (r - 1)(s - 1)$ is the number of chiral operators (=Milnor number=Witten index), $c = 6\left(\left(\frac{1}{2} - \frac{1}{r}\right)\left(\frac{1}{2} - \frac{1}{s}\right)\right)$ for $W = x^r - y^s$, etc.
- (3) The relation between *LGSM* and *SCFT* suggests that the ***S*-duality** can be seen via W . The (conjectural) coincidence of the DAHA superpolynomials and MOTIVIC ones implies that **physics *S* becomes the functional equation** for (at least) the singularities $W(x, y) = 0$ at $x = 0 = y$ considered over \mathbb{F}_q , with t being essentially T from the zeta and the corresponding L -function (its numerator).

REFINED KNOT OPERATORS

1. The origin was [*M.Aganagic, S.Shakirov, 2011*]. They replaced Schur functions in the knot operators by Macdonald polynomials at roots of unity (for $q^m = 1; t = q^k, k \in \mathbb{Z}_+$ in the DAHA parameters), and conjectured: **(a)** m -stabilization, **(b)** stabilization with respect to SL_N , and **(c)** coincidence with the KhR polynomials. The q -stabilization is generally a difficult task, but the main problem was that the *refined Verlinde S -operator* (Cherednik-Kirillov) requires the usage of ALL Macdonald polynomials ($\sim m^{N-1}$, to be more exact), which is not realistic theoretically and practically beyond very small m, N . And the S -operator alone is insufficient here.

2. This was fixed in [*I.Ch, 2013*]. Refined WRT polynomials were defined (for any root systems). The coincidence of the DAHA polynomials at $q=t$ for torus knots with the HOMFLY-PT ones was proved there via CFT (*S.Stevan, etc.*) and Hecke algebras. The same proof actually works for the Kauffman polynomials.

TOWARD HOMFLY-PT HOMOLOGY

HOMFLY-PT POLYNOMIALS = DAHA ONES AT $\mathfrak{t} = \mathfrak{q}$.

3. [*I.Ch., I.Danilenko, 2014*] contains a complete proof of “=” for Jones (A_1) polynomials for torus iterated *knots* based on the Rosso-Jones formula (with exact framing factors). This can be extended to any colored HOMFLY-PT polynomials (*I.D, unpublished*).

4. [*H.Morton, P.Samuels, 2015*]. Any torus iterated *knots*; based on the identification of the skein of the torus with the elliptic Hall algebra (due to *Burban-Schiffmann-Vasserot*) at $q = t$.

5. It was then extended to iterated torus *links* in [*I.Ch, I.D, 2015*] using the “Seifert framing”, generalizing that from [*MS*].

KHR POLYNOMIALS = DAHA ONES (ANY q, t).

Soergel bimodules and Gorsky’s (combinatorial) formulas were used:

6. This identification was done in [*B.Elias, M.Hogancamp, 2016-17*] for $T(mr \pm 1, r)$, $T(mr, r)$ and in [*A.Mellit, 2017*] for any torus *knots*. *Iterations, links and colors remain quite a challenge.*

REFINED HOMFLY-PT POLYNOMIALS

Algebraic links will be mostly considered. They are intersections of *plane curve singularities* $0 \in \mathcal{C} \subset \mathbb{C}^2$ with small \mathbb{S}^3 centered at 0. They have a natural orientation from that of \mathcal{C} . For instance, torus knots/links $T(r, s)$ are for the singularities $x^r - y^s = 0$ ($r, s > 0$). For any links, the (uncolored) *HOMFLY-PT polynomials* $H(q, a)$ are defined in the *DAHA parameters* as follows:

$$a^{1/2} H(\nearrow) - a^{-1/2} H(\nwarrow) = (q^{1/2} - q^{-1/2}) H(\uparrow\uparrow), \quad H(\bigcirc) = 1.$$

Their t -refinements are the DAHA-superpolynomials: a theorem for *colored* torus iterated links. Conjecturally they coincide with the corresponding *Khovanov-Rozansky stable reduced polynomials*. The theory of these polynomials and HOMFLY-PT homology mostly exists by now for uncolored *knots* and in the unreduced setting; very few formulas for them are known beyond (uncolored) *torus* knots.

HOMFLY-PT INVARIANTS via DAHA

Generally, **tilde-normalization** is $\tilde{H} = H \sim \stackrel{\text{def}}{=} q^{\bullet} t^{\bullet} a^{\bullet} H \in 1 + q\mathbb{Z}[[q]] + a\mathbb{Z}[[q^{\pm 1}, t^{\pm 1}, a]]$. For HOMFLY-PT $H(q, a)$ and *uncolored* links with κ components, let $\tilde{H} = (1-q)^{\kappa-1} H(q, a) \sim$.

THM. For DAHA super-polynomials \mathcal{H} of arbitrary torus iterated *colored* links $\tilde{H}(a, q) = \mathcal{H}(t=q, a \mapsto -a)$.

	HOMFLY-PT $H(q, a)$	\tilde{H} ("tilde- H ")	DAHA super- \mathcal{H}
$T(3, 2)$:	$a(q + q^{-1} - a)$	$1 + q^2 - qa$	$1 + qt + qa$
\mathcal{X} :	$a^{1/2} \frac{1+a-q-q^{-1}}{q^{1/2}-q^{-1/2}}$	$1 - q + q^2 - qa$	$1 + qa + (q-1)t$,

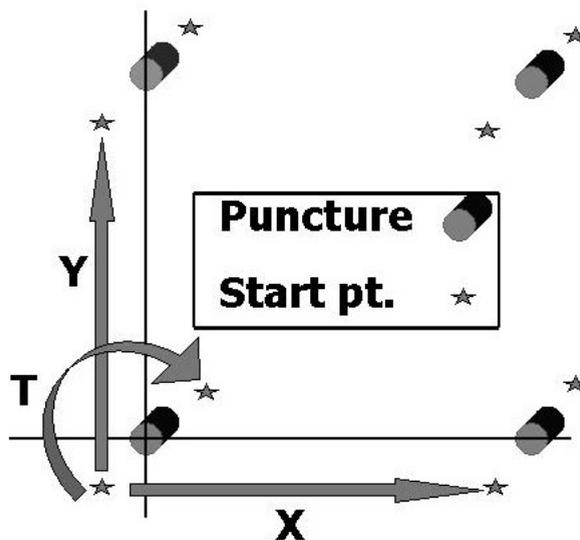
where \mathcal{X} is Hopf-plus-link. Also, $\text{tilde-WRT}_{SL_N} = \tilde{H}(q, a = q^N)$ (Jones for $N=2$), $\text{Alexander} \sim = \tilde{H}(q, a=1) / (\text{extra } (1-q) \text{ if } \kappa > 1)$. $\text{Jones} \sim (T(3, 2), \mathcal{X}) = 1 + q^2 - q^3, 1 + q^2$; $\text{Alexander} \sim (T(3, 2), \mathcal{X}) = 1 - q + q^2, 1$. The latter 2 are direct from the plane curve singularities.

ELLIPTIC CONFIGURATION SPACE

For $E = T^2$, we set $\mathcal{H} = \mathbb{C}\mathbf{B}_{ell}/\{T_i^2 + aT_i + b = 0\}$ for $\mathbf{B}_{ell} = \pi_1((E^N \setminus \{x_i = x_j\})/\mathbf{S}_N)$; $T_i (1 \leq i < N)$ are the usual "half-turns". \mathcal{H} can be generalized to any root systems, but then orbifold π_1 must be used. Generally, "Non-commutative Kodaira-Spencer": for a manifold X , $\pi_1(\mathcal{M}_X)$ acts in (individual) $\pi_1(X)$ by outer automorphisms modulo inner (instead of using $H^1(X, \mathcal{T}X)$). Here *projective* $PSL_2(\mathbb{Z}) (= B_3$ due to Steinberg) acts in \mathcal{H} , which is far from obvious in other approaches: via $K_{T \times C^*}(\widehat{G/B})$ and Harmonic Analysis.

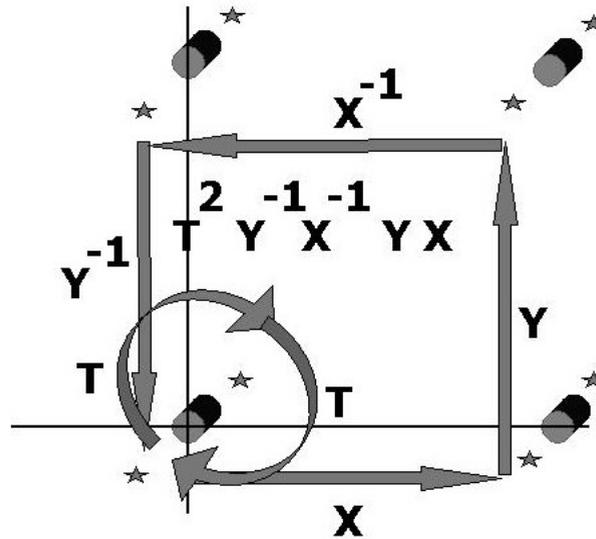
DAHA is a universal flat deformation of the Heisenberg-Weyl algebra extended by W ; its Fock representation is the *polynomial representation*. For curves C of genus > 1 , the corresponding \mathbf{B}_C (Birman, Scott) are "non-integrable": do not have Fock representations.

ORBIFOLD π_1 FOR A_1



$$\mathbf{B}_{ell} = \langle X, Y, T \rangle / \{T X T X^{-1}, T Y^{-1} T Y, T^2 Y^{-1} X^{-1} Y X\}.$$

RELATION $T^2 Y^{-1} X^{-1} Y X = 1$



No punctures inside the path!

A₁-DAHA: $\mathcal{H} \stackrel{\text{def}}{=} \langle T, X^{\pm 1}, Y^{\pm 1}, t^{\pm \frac{1}{2}}, q^{\pm \frac{1}{4}} \rangle$

subject to relations: $TXTX = 1 = TY^{-1}TY^{-1}$,

$Y^{-1}X^{-1}YXT^2 = q^{-1/2}$, $(T - t^{\frac{1}{2}})(T + t^{-\frac{1}{2}}) = 0$;

$\widetilde{PSL_2(\mathbb{Z})} \ni \tau_{\pm}$, $\tau_+ : Y \mapsto q^{-\frac{1}{4}}XY$, $X \mapsto X$, $T \mapsto T$.

For $t = 1$, $\mathcal{H} = \text{Weyl algebra} \rtimes \mathbf{S}_2$ under $T \rightarrow s$.

$\mathcal{H} \circlearrowleft \mathbb{C}[X^{\pm 1}] : T \mapsto t^{1/2}s + \frac{t^{1/2} - t^{-1/2}}{X^2 - 1}(s - 1)$,

$X \mapsto X$, $Y \mapsto spT$, $s(X) = X^{-1}$, $p(X) = q^{1/2}X$,

**For GL_n , $\tau_+(Y_1) = q^{-1/2}X_1Y_1$, $\tau_-(X_1) = q^{+1/2}Y_1X_1$,
 $Y_1 = \pi T_{n-1} \dots T_1$, $\pi : X_1 \mapsto X_2, \dots, X_n \mapsto q^{-1}X_1, \dots$**

DAHA via HARMONIC ANALYSIS

THM: $L = \sum_{0 \leq i \leq N} \partial_i^2 + k \sum_{1 \leq i < j \leq N} V(x_i - x_j)$ has ∞ -many conservation laws (similar M such that $[L, M] = 0$) iff
 (a) $V = \frac{1}{(x_i - x_j)^2}$, (b) $V = \frac{1}{\sinh^2(x_i - x_j)}$, (c) $V = \wp(x_i - x_j)$:
 rational & rational(a), rat & hyperbolic(b), rat & elliptic(c) theories.

Self-dual hyperbolic & hyperbolic Macdonald theory:

$M_m = \sum_I \prod_{i \in I} \prod_{j \notin I} \frac{t^{1/2} q^{x_i} - t^{-1/2} q^{x_j}}{q^{x_i} - q^{x_j}} \Gamma_{i_1} \cdots \Gamma_{i_m}$ all commute,
 where $I = (i_1, \dots, i_m)$, $1 \leq i_1 < \dots < i_m \leq N$, $\Gamma_i(x_j) = \delta_{ij} + x_j$.

DAHA simplify and **integrate** the eigenvalue problem for $\{M_m\}$. Furthermore, the trigonometric & elliptic theory was obtained. The "ultimate" **ell & ell theory** would be parallel to the $6d, N = 2$ theory (X -theory). The LP is for $d = 4$; it corresponds to the Whittaker limit $t = 0$ of Macdonald theory.

REFINED VERLINDE ALGEBRAS: $q = \exp(\frac{2\pi i}{N})$, $k < N/2, k \in \frac{\mathbb{Z}_+}{2}$. The map $X(z) = q^z$ can be extended to an \mathcal{H} -homomorphism $\mathbb{C}[X^{\pm 1}] \rightarrow V \stackrel{\text{def}}{=} \text{”Nonsym Verlinde”} = \text{Funct}\{-\frac{N+k+1}{2}, \dots, -\frac{k+1}{2}, -\frac{k}{2}, \frac{k+1}{2}, \dots, \frac{N-k}{2}\}$.

Moreover, X, Y, T are unitary in V , which requires the ”minimal” primitive N th root q . Also, $PSL_2(\mathbb{Z})$ acts in V projectively and in the image $V_{sym} = \{f \mid Tf = t^{\frac{1}{2}}f\}$ of $\mathbb{C}[X^{\pm 1}]_{sym}$. So $\dim_{\mathbb{C}} V = 2N - 4k$, $\dim_{\mathbb{C}} V_{sym} = N - 2k + 1$. Usual *Verlinde algebra* is $V_{sym}^{k=1}$; τ_+ becomes the T -operator, $\sigma = \tau_+ \tau_-^{-1} \tau_+$, **Fourier transform**, becomes the S -operator. ”Characters” in Verlinde algebras are replaced by eigenfunctions of Y and $Y + Y^{-1}$ in V and V_{sym} , the images of the Macdonald polynomials. Connections with **minimal models** of Kac-Moody algebras and W -algebras are expected.

$\widetilde{\text{PSL}}(2, \mathbb{Z}) \circledast \mathcal{H} \Rightarrow \text{invariants of } T(r, s)$

Torus knot $T(r, s)$, $r, s > 1$, $(r, s) = 1$, $r, s = \text{winds}$
(horizontal, vertical), or $T = \{x^r = y^s\} \cap S_\epsilon^3 \subset \mathbb{C}^2$.

$$T(r, s) \longleftrightarrow \gamma = \gamma_{r,s} = \begin{pmatrix} r & * \\ s & * \end{pmatrix} \in SL_2(\mathbb{Z}).$$

Construction (Aganagic-Shakirov, Cherednik):

1. DAHA $\mathcal{H}_{q,t}$ for a root system $R \subset \mathbb{R}^n$,
2. a dominant weight $\lambda \in P_+ = \bigoplus_{i=1}^n \mathbb{Z}_+ \omega_i$,
3. and the Macdonald polynomial $P_\lambda(X)$,
4. **Coinvariant:** $H \in \mathcal{H}$, $\{H\} \stackrel{\text{def}}{=} H(1)(X \mapsto t^{-\rho})$.
5. $DJ(\lambda; q, t_\bullet) = \text{Coinvariant}(\widetilde{\gamma}(P_\lambda/P_\lambda(t^\rho)))$,
6. $\mathcal{H}_{T(r,s)}^{DAHA}(\lambda, q, t, a = -t^{n+1}) = \widetilde{DJ}(A_n; \lambda; q, t)$.

$$\widetilde{DJ}_{T(3,2)}^{A_n}(\square) = \{\tau_+ \tau_-^2(X_1)\}, \mathcal{H}_{T(3,2)}^{DAHA}(\square) = 1 + aq + qt.$$

USING COINVARIANT $(A_1, \rho=1/2)$

Coinvariant: $H \in \mathcal{H}$, $\{H\} \stackrel{\text{def}}{=} H(1)(X \mapsto t^{-\frac{1}{2}})$.

$$\begin{aligned} DJ_{3,2} &= \{\tau_+ \tau_-^2(X)\} \sim \{(XY)(XY)X(1)\} \sim \{Y(X^2)\} \\ &= t^{-\frac{1}{2}} q^{-1} X^2 - t^{\frac{1}{2}} + t^{-\frac{1}{2}} \Big|_{X^2 \mapsto t^{-1}} \sim 1 - qt^2 + qt; \end{aligned}$$

Jones Polynomial of $T(3, 2)$: $\widetilde{DJ}_{3,2}(t \mapsto q) = 1 + q^2 - q^3$.

We use here $E_1 = X$ instead of $P_1(X)$: $Y(X) = (qt)^{-\frac{1}{2}} X$.
Using E -polynomials is an important feature of the theory!
 By \sim we mean "up to $q^\bullet t^\bullet$ ". Extending this to A_n and **super-polynomials**: $\mathcal{H}_{3,2}^\square = 1 + aq + qt$; e.g. $\mathcal{H}_{3,2}(a \mapsto -t^2) = \widetilde{DJ}_{3,2}$.

This is equally simple for $T(2m+1, 2)$ with $m > 0$; for such torus knots $deg_a = 1$. Generally, $deg_a \mathcal{H}_{r,s}^\lambda = |\lambda|(\text{Min}(r, s) - 1)$.

SUPERPOLYNOMIALS FOR $T(2p+1,2)$:

$$\mathcal{H}_{2p+1,2}(m\omega_1) = \frac{(q; q)_m}{(-a; q)_m (1-t)} \sum_{k=0}^m (-1)^{m-k} (qt)^{\frac{m-k}{2}} \left((q^{\frac{m(m+1)}{2}} - q^{\frac{k(k+1)}{2}}) (t/q)^{\frac{m-k}{2}} \right)^{2p+1} \frac{(t; q)_k (-a; q)_{m+k} (-a/t; q)_{m-k} (1 - q^{2k}t)}{(q; q)_k (qt; q)_{m+k} (q; q)_{m-k}};$$

$$\mathcal{H}_{3,2}(m\omega_1) = \sum_{k=0}^m q^{mk} t^k \frac{(q; q)_m (-a/t; q)_k}{(q; q)_k (q; q)_{m-k}};$$

$$(a; q)_n = (1-a) \cdots (1 - aq^{n-1}).$$

Proposed by [Dunin-Barkowski- Mironov- Morozov- Sleptsov- Smirnov, 2011-12], [Fuji- Gukov- Sulikowsky, 2012]. Habiro's formula (2000) is for $p = 1, a = -t^2, t = q$. Proved via DAHA.

ITERATIONS. E.g., let the **singularity ring** be $\mathcal{R} = \mathbb{C}[[x = z^8, y = z^{12} + z^{14} + z^{15}]]$. Then **arith.genus** $(\delta) = 42$, **valuation semigroup** $\Gamma = \text{val}_z(\mathcal{R}) = \langle 8, 12, 26, 53 \rangle$. **Newton's pairs** are: $\{(3, 2), (2, 1), (2, 1)\}$. The **corresponding singularity** is $\mathcal{C} \simeq \{x = y^{\frac{2}{3}}(1 + c_1 y^{\frac{1}{3 \cdot 2}}(1 + c_2 y^{\frac{1}{3 \cdot 2 \cdot 2}}))\}$, the **link** $\mathcal{C} \cap S_\epsilon^3$ is $\mathcal{L} = \text{Cab}(53, 2)\text{Cab}(13, 2)T(3, 2)$, and:

$$DJ_{\mathcal{L}}^\lambda = \{\mathcal{P}_\lambda\}, \mathcal{P}_\lambda = \Downarrow \begin{pmatrix} 3 & * \\ 2 & * \end{pmatrix} \Downarrow \begin{pmatrix} 2 & * \\ 1 & * \end{pmatrix} \Downarrow \begin{pmatrix} 2 & * \\ 1 & * \end{pmatrix} \left(\frac{P_\lambda(X)}{P_\lambda(t^{-\rho})} \right),$$

where the matrices act via their lifts to $\text{Aut}(\mathcal{H})$, and $\Downarrow H \stackrel{\text{def}}{=} H(1)$, $\{H\} \stackrel{\text{def}}{=} H(1)(t^{-\rho})$ is the **coinvariant** for $1 \in \mathbb{C}[X]$, $P_\lambda =$ Macdonald polynomial for a dominant weight λ (a partition for superpolynomials in type A). Upon the a -stabilization, $\text{deg}_a(\mathcal{H}^\square) = \text{Min}(3, 2) \cdot 2 \cdot 2 - 1 = 7 = \text{multiplicity}(\mathcal{C}) - 1$.

ROSSO-JONES RELATIONS

For any $m \in \mathbb{Z}$, $r, s \geq 0$, let $\mathcal{H}_{[m;r,s]}^\square$ be the uncolored DAHA superpolynomial for the cable $Cab(2rs+2m+1, 2)T(r, s)$, under the *tilde-normalization*: $\mathcal{H}(a=0) = 1+q(\cdot)+t(\cdot)$. Then the q, t -Rosso-Jones formula reads: $\mathcal{H}_{[m;r,s]}^\square =$

$$\begin{aligned} &= \frac{1+aq}{1-qt} \left(1 + (qt)^m \frac{q(1-t)}{1-q} \right) \mathcal{H}_{r,s}^{\square\square} - (qt)^m \frac{q}{1-q} \mathcal{H}_{2r,2s}^\square \\ &= \frac{1-(qt)^m}{1-qt} (1+aq) \mathcal{H}_{r,s}^{\square\square} + (qt)^m \mathcal{H}_{[0;r,s]}^\square \quad (\text{a theorem}), \end{aligned}$$

where $\square\square$ means "colored by $2\omega_1$ "; positive for algebraic ($m \geq 0$).

Say, for (**non**-algebraic) $K = Cab(3, 2)T(3, 2)$: $\mathcal{H}_K^\square = 1 - q^2 + qt + q^2t - q^3t + q^2t^2 + q^3t^3 + a^3 \left(-\frac{q^4}{t^2} - \frac{q^5}{t} \right) + a^2 (q^3 - q^4 - q^5 - \frac{q^3}{t^2} - \frac{q^3}{t} - \frac{2q^4}{t}) + a \left(q + q^2 - 2q^3 - q^4 - \frac{q^2}{t} - \frac{q^3}{t} + q^2t + q^3t - q^4t + q^3t^2 \right)$.

TORUS ITERATED LINKS

An iterated link is represented by a tree \mathcal{T} or a **pair of trees** $\{\mathcal{T}, \mathcal{T}'\}$ (or their unions) with fixed origin and the vertices labeled by relatively prime $[r, s]$. This is based on **splice diagrams** (Neumann, ...), where colors (Young diagrams) are assigned to the *arrows* added at the ends of the *paths* in $\mathcal{T}, \mathcal{T}'$. When two paths for λ, μ meet at $\circ_{[r,s]}$, we employ $\tilde{\gamma} = \tilde{\gamma}_{r,s}$ to the product $\mathcal{P}_\lambda \mathcal{P}_\mu$ (for $\tilde{\gamma}_{r,s}, \mathcal{P}_\lambda$ as above) and then continue constructing *pre-polynomials* \mathcal{P} by induction. Finally, \mathcal{H}_{DAHA} is $\{\mathcal{P}\}$ or $\{Q(Y^{\pm 1})\mathcal{P}\}$ for $\mathcal{T}, \mathcal{T}'$ with the pre-polynomials \mathcal{P}, Q ; this is upon the a -stabilization. Here $Y^{\pm 1}$ must taken for algebraic links (we omit the other conditions for algebraic links). Pre-polynomials themselves are invariants of links in a solid torus.

Ex. Let $Q = P_\mu$ (i.e. \mathcal{T}' is the μ -arrow with *no vertices*). Applying $P_\mu(Y^{\pm 1})$ corresponds to adding a *meridian* colored by μ to the link for \mathcal{T} (± 1 gives its orientation); $\circ_{[1, \pm 1]} \rightrightarrows^\mu_{\mathcal{T}}$ can be used here too.

3 EXAMPLES OF LINKS

- 1) The cable $(Cab(11, 3), Cab(11, 3))T(3, 1)$ is represented by the tree $\circ \rightrightarrows \circ \rightrightarrows \lambda$, where the first vertex is labeled by $[3, 1]$ and the other two by (coinciding) labels $[3, 2]$. The superpolynomial is then $\mathcal{H} = \left\{ \tilde{\gamma}_{3,1} \left(\left(\tilde{\gamma}_{3,2}(P_\lambda^\boxtimes) \right)^2 \right) \right\}$ for $(x^{11} - y^3)((y + x^3)^3 + x^{11}) = 0$ with the linking number 27 [*I.Ch., "RH"*]. **The normalization \boxtimes in \mathcal{H}_{DAHA} is non-topological:** to ensure the polynomiality we use *proper J -polynomials* instead of P_λ and divide by the *LCM of all $J_\lambda(t^{-\rho})$* .
- 2) Adding the meridian(+) to $T(3, 2)$ gives $\mathcal{H} = \{P_{\omega_1}(Y)\tilde{\gamma}_{3,2}(P_{\omega_1})\}$ $\{1 - t + qt + q^2t - qt^2 + q^3t^2 - q^2t^3 + q^3t^3 - q^3t^4 + q^4t^4 + a^2(q^3 - q^3t + q^4t) + a(q + q^2 - qt + 2q^3t - q^2t^2 + q^4t^2 - q^3t^3 + q^4t^3)\}$ for $(y^3 + x^2)(y^3 + x) = 0$.
- 3) The associativity&invariance of the \mathcal{H} for Hopf 3-links, **theory of DAHA-vertex**, results in a *refined TQFT*. E.g. for $T(3, -3)$ (with linking numbers -1), they are $\{\tilde{\gamma}_{1,-1}(P_\lambda P_\mu P_\nu)\}$ [*I.Ch., I.D*].

RIEMANN HYPOTHESIS

We substitute $q \rightarrow qt$: $\mathbf{H}(q, t, a) \stackrel{\text{def}}{=} \mathcal{H}_{DAHA}(qt, t, a)$, $\mathbf{H}(q, t, a) = \sum_{i=0}^{\text{deg}_a} \mathbf{H}_i(q, t) a^i$. Then $\mathbf{H}(q \rightarrow q, t \rightarrow 1/(qt), a) = q \cdot t \cdot \mathbf{H}(q, t, a)$, which is **DAHA super-duality** [Ch, Gorsky, Negut, Ch-Danilenko]; thus if $t = \xi$ is a zero of \mathbf{H}_i , then so are $1/(q\xi)$ and (obviously) $\bar{\xi}$.

RH: For *uncolored* algebraic knots, all t -zeros ξ of $\mathbf{H}_i(q, t)$ satisfy $|\xi| = \sqrt{1/q}$ for $0 \leq q \leq \kappa$; where $\kappa = 1/2$ is sufficient for $i = 0$ ("**quantitative RH**"). For algebraic *links* with p components, $p - 1$ *non-RH* pairs are conjectured for $\mathbf{H}_{i=0}$; but $\mathbf{H}_{i=2}$ has 3 such pairs for $\{(y^3 - x^2)(x^3 - y^2) = 0\}$. For torus knots, all $\mathbf{H}_i(q = 1, t)$ are products of cyclotomic polynomials (related to Shuffle Conjecture).

The techniques of [I.Ch, "RH"] allow to calculate the number of non-RH zeros of \mathbf{H}_i for any colored iterated links (conjecturally, there is none for rectangle diagrams). The existence of κ can be proven for uncolored *motivic* superpolynomials ("**qualitative RH**").

REFINED WITTEN INDEX

The KhR polynomials for SL_N are related to $\mathcal{H}(q, t, a = -t^N)$, but this requires the differentials d_N (Khovanov-Rasmussen), which are generally involved. For $a = -1$, this connection is with knot Floer homology; $\mathcal{H}(t, t, a = -1)$ is the Alexander polynomial. For algebraic knots, $\delta_{q,t} \stackrel{\text{def}}{=} \frac{\mathcal{H}(q,t,a=-t/q)-(qt)^\delta}{1-t}$ is a q, t -deformation of the Milnor number $=$ Witten index; δ is the arithmetic genus of the corresponding plane curve singularity. Representing it by $\mathcal{R} \subset \mathbb{C}[[z]]$, let $\Gamma \stackrel{\text{def}}{=} \text{val}_z(\mathcal{R})$, $\mathbb{Z}_+ \setminus \Gamma = \cup_{i=1}^\nu [g_i, g'_i]$, a union of segments of length $m_i = g'_i - g_i + 1$. Then $\delta = \sum_{i=1}^\nu m_i$, $\delta_{q,t} = \frac{1-t^{g_1}}{1-t} + \sum_{i=1}^{\nu-1} \frac{t^{g'_i+1} - t^{g_i+1}}{1-t} \left(\frac{q}{t}\right)^{m_1+\dots+m_i}$ (for Gorenstein \mathcal{R}). By analogy with spin-chains, the corresponding **LGSM** can be expected **"stable"** at q if RH (as above) holds (Lee-Yang thm), but this is a long shot.

LEE-YANG THEOREM

For any lattice (any d) with the connected pairs denoted by $\langle n, n' \rangle$ and the number of vertices N , let $LIM = \lim_{N \rightarrow \infty} \frac{\log(Z_N)}{N}$ for $Z_N = \sum_{\{\sigma_n\}} e^{-\beta \mathcal{H}}$, where $\mathcal{H} = - \sum_{\langle n, n' \rangle} J_{n, n'} \sigma_n \sigma_{n'} - H \sum_n \sigma_n$ and $\sigma = \pm 1$ (Ising model with external magnetic field H). Here $\beta = (k_B T)^{-1}$ etc. Assuming that $J_{n, n'} \geq 0$ and $\beta > 0$, Lee and Yang proved that the zeros of Z in terms of $\mu = e^{-2\beta H}$ belong to the unit circle $|\mu| = 1$. For square lattice with $J = const$, the sum Z is a polynomial in terms of μ and $u = e^{-4\beta J}$. Experiments [Matveev, Shrock, ...] show that $|\mu| = 1$ for the zeros holds even for $0 > u > u_{crit}$. This resembles the behavior of our t -zeros as q increases.

Concerning LIM , the unimodularity of zeros (mostly) translates into the phase transition at $\mu = 1$ (and no other *real* ones!). When $u < u_{crit}$, the analytic properties of LIM in terms of $\mu \in \mathbb{R}_+$ become messy; ” RH ” for Z_N seems really to control of the physics stability.

PHYSICS STABILITY via RH?

Briefly: **zeta-functions of target spaces in LGSM (say for local singularities) are expected important partition functions, which is not only for conformal FT (here $\mathcal{N} = (2, 2)$, but this can be potentially extended to $\mathcal{N} = (2, 0)$).** This can shed light on the *modularity phenomenon in string theory*; the *functional equation* is very universal in arithmetic geometry (though the uniformity w.r.t. q is very special!). **In "Motivic LGSM" they are directly linked!**

Following the insight from *phase transitions*, LGSM (and the corresponding elementary particles, the ground states) can be expected **"stable"** at $0 \leq q \leq \kappa$, a *coupling constant*, if RH holds (for t -zeros). **Rationale:** (1) small $q > 0$ always satisfy RH ("*qualitative RH*"), (2) **the simplest non-torus singularities are the most RH-unstable**, i.e. have the smallest q violating RH, which is "*quantitative RH*".

HILBERT SCHEMES AND JACOBIANS

\mathcal{C} = unibranch plane curve singularity; δ = arithmetic genus.

For rational $C \subset \mathbb{C}P^2$ (Gopakumar-Vafa, Pandharipande-Thomas):
 $\sum_{n \geq 0} q^{n+1-\delta} e(C^{[n]}) = \sum_{0 \leq i \leq \delta} n_C(i) \left(\frac{q}{(1-q)^2}\right)^{i+1-\delta}$, for Euler numbers of Hilbert schemes $C^{[n]}$; $n_C(i) \in \mathbb{Z}_+$ (Göttsche, ..., Shende $\forall i$).

ORS-Conjecture: For NESTED $\mathcal{C}^{[l \leq l+m]}$ Hilbert schemes (pairs of ideals) and $t \leftrightarrow \mathfrak{w}$ = weight filtration (Serre, Deligne),

$$\sum_{l, m \geq 0} q^{2l} a^{2m} t^{m^2} \mathfrak{w}(\mathcal{C}^{[l \leq l+m]}) \sim \text{Kh}R^{\text{stab}}(\text{Link}(\mathcal{C})).$$

It adds t to Oblomkov-Shende conjecture, proved by Maulik.

ChD-Conjecture: For any \mathcal{C} , $\mathcal{H}_{DAHA}(\square; a, q, t) = \text{Kh}R_{red}^{\text{stab}}$,
 $\mathcal{H}_{DAHA}(\square; q, t=1, a=0) = \sum_{i=0}^{\delta} q^i b_{2i}(\bar{J}(\mathcal{C}))$ (incl. $b_{2i+1} = 0$).

$\bar{J}(\mathcal{C})$ = **Jacobian factor**, the key in Fundamental Lemma.

AN EXAMPLE (b_{2i} via DAHA). $\mathcal{R} = \mathbb{C}[[z^8, z^{12} + z^{14} + z^{15}]] :$

$$\begin{aligned}
q^{-\delta} \mathcal{H}(\square; q, t=1, a=0) &= 1 + 7q^{-1} + 24q^{-2} + 56q^{-3} + 104q^{-4} + 166q^{-5} \\
&+ 236q^{-6} + 306q^{-7} + 370q^{-8} + 424q^{-9} + 465q^{-10} + 492q^{-11} \\
&+ 507q^{-12} + 510q^{-13} + 504q^{-14} + 488q^{-15} + 466q^{-16} + 437q^{-17} \\
&+ 406q^{-18} + 370q^{-19} + 335q^{-20} + 298q^{-21} + 264q^{-22} + 230q^{-23} \\
&+ 199q^{-24} + 168q^{-25} + 143q^{-26} + 118q^{-27} + 97q^{-28} + 78q^{-29} \\
&+ 63q^{-30} + 48q^{-31} + 38q^{-32} + 28q^{-33} + 21q^{-34} + 15q^{-35} + 11q^{-36} \\
&+ 7q^{-37} + 5q^{-38} + 3q^{-39} + 2q^{-40} + q^{-41} + q^{-42}.
\end{aligned}$$

The Euler number of $\bar{J}(\mathcal{C})$ is 8512 ($q = 1$), $\delta = 42$, and $\mathcal{H}(\square; q = p^l, t = 1, a = 0) = |\bar{J}(\mathcal{C})(\mathbb{F}_{p^l})|$, i.e. it coincides with the corresponding p -adic orbital integral. It doesn't depend on the matrix rank (15 or 8), only on the topological type of the (germ of the) *spectral curve* $\mathcal{C} \sim \mathcal{R}$.

MOTIVIC SUPERPOLYNOMIALS

Let $\mathcal{R} \subset \mathbb{C}[[z]]$ be the ring of a unibranch plane curve singularity. **Flagged compactified Jacobian** \mathcal{F} is formed by *standard flags of \mathcal{R} -modules* $M_0 \subset M_1 \subset \cdots \subset M_\ell \subset \mathcal{O} = \mathbb{C}[[z]]$ such that (a) $M_i \ni 1 + z(\cdot)$, (b) $\dim M_i/M_{i-1} = 1$ and $M_i = M_{i-1} \oplus \mathbb{C} z^{g_i}(1 + z(\cdot))$, (c) (*important*) $g_i < g_{i+1}$, $i \geq 1$.

Conjecture (Ch, Philipp). Within its topological type, the singularity can be assumed over any $\mathbb{F} = \mathbb{F}_q$. Then $\mathcal{H}_{DAHA}^\square = \mathcal{H}_{\mathcal{C}}^{mot} \stackrel{\text{def}}{=} \sum_{\{M_0 \subset \cdots \subset M_\ell\} \in \mathcal{F}(\mathbb{F})} t^{\dim(\mathcal{O}/M_\ell)} a^\ell$ for the DAHA superpolynomial corresponding to \mathcal{C} ; $\mathcal{H}_{\mathcal{C}}^{mot}$ generalize p -adic orbital integrals (type A , nil-elliptic), which are for $t = 1$, $a = 0$.

This is checked very well, incl. many cases with “cells” in $\mathcal{F} = \cup_{\mathcal{D}} \mathcal{F}_{\mathcal{D}}$ that are *not* \mathbb{A}^N ; here $\mathcal{D} \stackrel{\text{def}}{=} \{D_0 \subset \cdots \subset D_m\}$ for modules $D_i \stackrel{\text{def}}{=} \text{valuation}_z(M_i)$ over the semigroup $\Gamma \stackrel{\text{def}}{=} \text{valuation}_z(\mathcal{R})$.

EXAMPLES OF PLAIN SINGULARITIES

The simplest one is for "trefoil" $T(3, 2)$. The corresponding ring of singularity $\mathcal{R} = \mathbb{C}[[z^2, z^3]]$ has the valuation semigroup $\Gamma = \mathbb{Z}_+ \setminus \{1\}$. The latter remains unchanged over any(!) \mathbb{F}_q . The modules are $M_\lambda = (1 + \lambda z)$ (called invertibles) of $\dim \mathcal{O}/M = 1$, and $M = \mathcal{O}$ (2 generators; $\dim=0$). The standard 1-flags are $\{M_\lambda \subset \mathcal{O}\}$ (of $\dim 0$). Thus $\mathcal{H}^{mot} = 1$ (for \mathcal{O}) + qt (invertibles) + aq (for 1-flags).

The simplest non-torus one is the ring $\mathcal{R} = \mathbb{C}[[z^4, z^6 + z^7]]$, where $\Gamma = \mathbb{Z}_+ \setminus \mathbf{Gaps}$ for $\mathbf{Gaps} = \{1, 2, 3, 5, 7, 9, 11, 15\}$, so $\delta = |\mathbf{Gaps}| = 8$. Here $(z^6 + z^7)^2 - (z^4)^3 = 2z^{13} + \dots$ and $p=2$ is a place of bad reduction. This singularity can be also presented by $\mathcal{R}' = \mathbb{C}[[z^4 + z^5, z^6]]$, where the reduction is bad only at $p = 3$. Thus it has no places of bad reduction; the same holds for any algebraic knots (higher dimensions?). Importantly, in contrast to torus knots, only 23 out of the 25 Γ -modules D come from some standard M (*the Piontkowski phenomenon*).

FLAGGED GALKIN-STÖHR ZETA

A flag of \mathcal{R} -ideals $\mathcal{M} = \{M_0 \subset M_1 \cdots \subset M_\ell\}$ is called *standardizable* if $\{z^{-m} M_i\}$ becomes standard (as above) for $m = \min(\text{valuation}_z(M_\ell))$. We set: $\mathcal{Z}(q, t, a) \stackrel{\text{def}}{=} \sum_{\mathcal{M} \subset \mathcal{R}} a^\ell t^{\dim_{\mathbb{F}}(\mathcal{R}/M_\ell)}$, $\mathcal{L}(q, t, a) \stackrel{\text{def}}{=} (1-t)\mathcal{Z}(q, t, a)$, where the summation is over *standardizable* flags of ideals \mathcal{M} .

Conjecture. Setting $\mathbf{H}^{mot}(q, t, a) \stackrel{\text{def}}{=} \mathcal{H}^{mot}(qt, t, a)$, $\mathbf{H}^{mot}(q, t, a) = \mathcal{L}(q, t, a)$, and $\mathbf{H}^{mot}(q, t, a = -\frac{1}{q}) = \mathcal{L}_{\text{prncpl}}(q, t, a = 0)$ (summation over principle $\mathcal{M} \subset \mathcal{R}$), where the latter "=" possibly holds for any Gorenstein \mathcal{R} .

Conjecture is checked for many knots, including involved cases when $\mathcal{R} = \mathbb{F}[[z^6, z^8 + z^9]]$ for $\ell = 0, 1$ and $\mathcal{R} = \mathbb{F}[[z^6, z^9 + z^{10}]]$ for $\ell = 0$.

DAHA and AFFINE FLAG VARIETY

Another definition of DAHA is via the $T \times \mathbb{C}^*$ -equivariant K -theory of the **affine flag variety** \mathcal{B} ; T is the maximal torus. Namely, \mathcal{H} is essentially $K^{T \times \mathbb{C}^*}(\Lambda)$ for a certain canonical Lagrangian subspace $\Lambda \subset \mathcal{T}^*(\mathcal{B} \times \mathcal{B})$, i.e. it is the Grothendieck ring of the (derived) category of $T \times \mathbb{C}^*$ -equivariant coherent sheaves on Λ . This approach potentially leads to the classification of irreducible representations of \mathcal{H} ; Ginzburg-Kapranov-Vasserot, Garland-Grojnowski, Varagnolo-Vasserot,

There is a connection to Bezrukavnikov's and others' recent research on “double-affine theories”. The action of the **double affine Weyl group** on cohomology of an **affine Springer fiber** (Yun) is very important here.

AFFINE SPRINGER FIBERS

We assume that $\gamma \in \mathfrak{g}(\mathbb{F}((x)))$ for a semi-simple Lie algebra \mathfrak{g} is *nil-elliptic*, i.e. it is the unibranch case: no split tori over a local field $\mathbb{F}((x))$ in the stabilizer of γ . The *affine Springer fiber* \mathcal{X}_γ is then formed by the classes of g in the *affine Grassmannian* $G(\mathbb{F}((x)))/G(\mathbb{F}[[x]])$ over $\mathbb{F} = \mathbb{F}_q$ such that $Ad_g^{-1}(\gamma) \in \mathfrak{g}(\mathbb{F}[[x]])$, where $\text{Lie}(G) = \mathfrak{g}$. In type A , it can be identified with our compactified Jacobian for the characteristic polynomial $\chi_\gamma(x, y) = \det(\gamma - y\mathbf{1})$, which is far from obvious *a priori* due to different roles of x, y . The p -adic orbital integrals count \mathbb{F} -points of the latter, which corresponds to $a=0, t=1$ in \mathcal{H} . Our flagged construction is some counterpart of *parahoric Springer fibers* (for partially full flags).

Our approach requires no matrices. E.g., the coincidence of superpolynomials for torus knots $T(r, s)$ and $T(s, r)$ is direct.

SOME NT PERSPECTIVES: Periods of cusp forms Φ of weight $w \geq 12$, namely $\int_{\gamma[0, \infty]} z^k \Phi_\chi(z) dz$, result in *p*-adic measures (Mazur, Manin, Katz, . . . , eigenvarieties) for $0 \leq k \leq w$. For us: $\int_0^{\infty} \{\cdot\} \Phi_\chi dz \rightsquigarrow \{\cdot\} = \text{coinvariant}$, $z^k \rightsquigarrow P_\lambda$.

THM (DAHA-Satake). DAHA coinvariants of level ℓ ($\ell = 1$ above) are 1-1 with theta-functions (generally Looijenga functions) of level ℓ . P_λ can be replaced by *global hypergeometric functions* (which, for instance, gives an approach to refined *A*-polynomials).

The whole $PSL(2, \mathbb{Q})$ is expected to act in some variant of DAHA, the elliptic "polynomial" representation of the *same* \mathcal{H} is important, and more. The analogy between the Alexander and Iwasawa polynomials (Barry Mazur) was extended to the refined theory (where there is really some connection); a link to Kubota-Leopold *p*-adic zeta is not impossible.

MORE NT CONNECTIONS

1. Ell.Conf.Space $\approx \text{Bun}_G(E)$ for $G = SL_N$, which is related to LP for E ; also \mathcal{H}^{S^N} (spherical DAHA) \leftrightarrow **elliptic Hall algebras** (Schiffmann, Vasserot) \leftrightarrow W -algebras. A link to LP is when $t=0$.

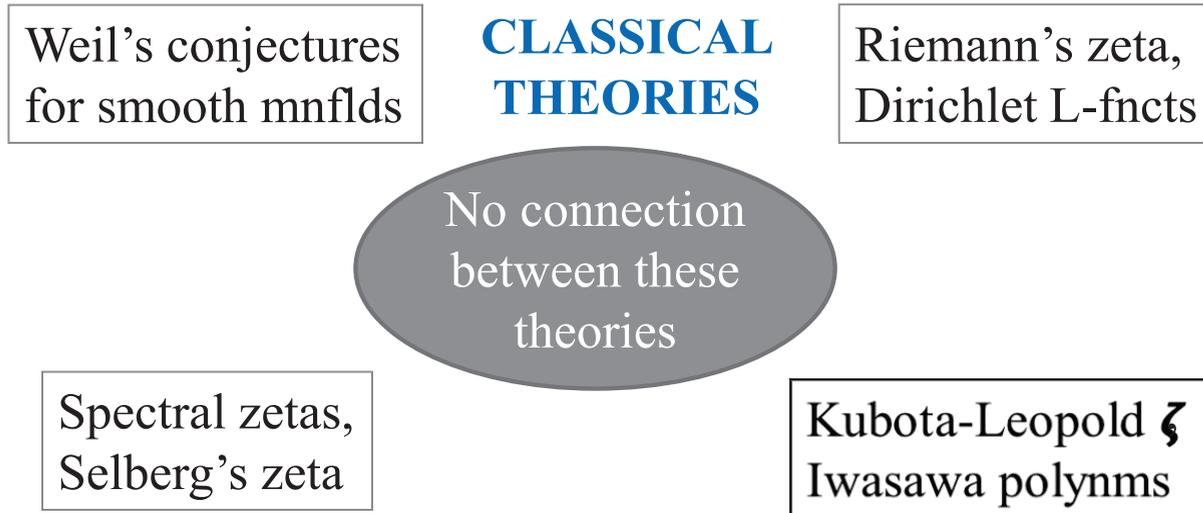
2. As $q^n = 1$, DAHA dramatically generalize and simplify **Verlinde algebras**. Thm: $Gal(\overline{\mathbb{Q}}/\mathbb{Q})$ acts in *rigid* \mathcal{H} -modules ($N = 2$). Potentially DAHA can produce modular forms directly from links (or Seifert 3-folds). Thm: **DAHA coinvariants** \leftrightarrow **elliptic functions**.

3. There is a counterpart of the theory of zeta-functions of (local) curve singularities, where the *spectral zeta-function* is calculated for Dirac operator of the *Schottky uniformization* of a curve. Using here p -adic Mumford-Tate uniformization, where the closed fiber is the rational curve associated with the singularity, presumably leads to some (similar) theory of superpolynomials.

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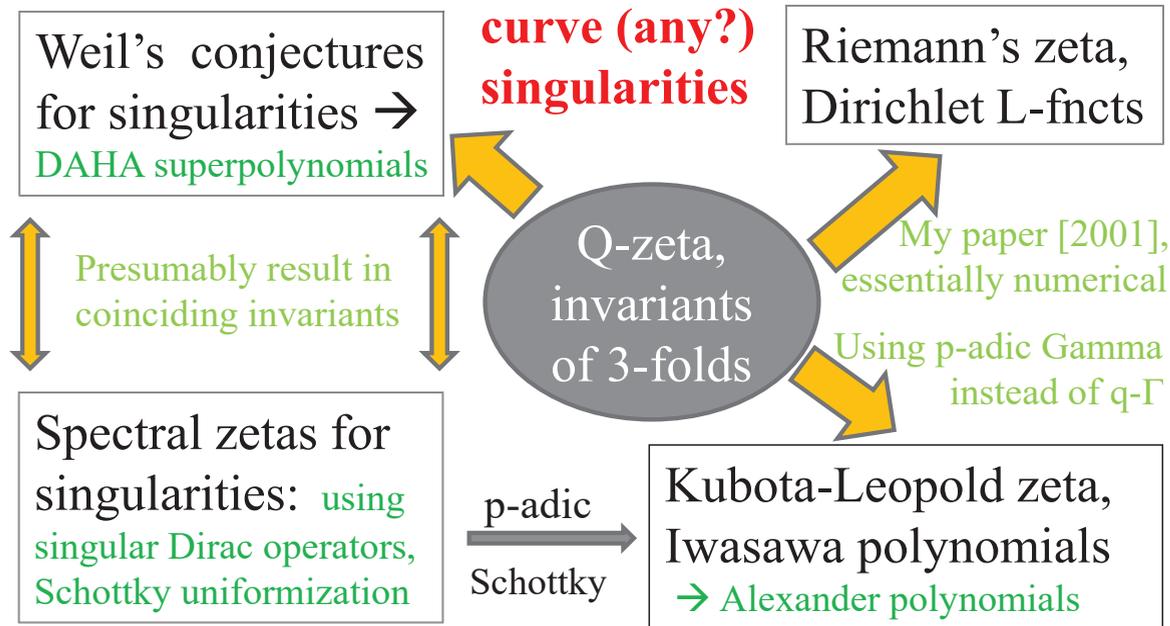
ZETA FUNCTIONS



For instance, Dirichlet L-functions have no counterparts among Weil's L-functions (and they have no q): two different universes. Also, *zeta-equivalence* of algebraic varieties over \mathbf{C} (N. Katz) generally results only in the coincidence of their Hodge numbers.

FOCUS ON SINGULARITIES

These theories become connected for



If they capture the topological (!) invariants of links or 3-folds, then these theories must be *a priori* equivalent!

ZETAS AS TOPOLOGICAL INVARIANTS

First, we check that an isolated hypersurface singularity $0 \in \mathcal{X} \subset \mathbb{C}^n$ within its topological type, (say, the isotopy class of $\mathcal{X} \cap \mathbb{S}^{2n-1}$) can be defined over \mathbb{Z} and generic (any?) \mathbb{F}_q , i.e. has good reductions at general prime p . Second, the compactified Jacobian $\bar{J}(\mathcal{X})$ of \mathcal{X} (more generally, $Bun_G(\mathcal{X})$) is assumed of *strong polynomial count*: $|\bar{J}(\mathcal{X})(\mathbb{F}_q)|$ depend polynomially on q . Then the *flagged* $\zeta_{\mathcal{X}}(q, t, a)$ is a powerful topological invariant of \mathcal{X} . For instance, $\zeta_{\mathcal{C}}(q, t, a)$ for a plane curve singularity \mathcal{C} , readily provides the valuation semi-ring of \mathcal{C} , which determines the topological type of unibranch \mathcal{C} . Similarly, the singular and p -adic zetas are expected to capture the topological type of \mathcal{C} too, so we expect some **a priori equivalence** of these 3 theories. The passage to the "Grand" ζ , L -functions is (hopefully) via toric-type surface singularities \mathcal{X} (and Seifert 3-folds).